

Know your (copy)rights as an academic author: publishers & Open Access

Library #researchskills session

28 October 2020, 11.00-12.30

Sala del Capitolo, Badia

Lotta Svantesson, Open Science Coordinator & EUI Repository Manager

Monica Steletti, Special Collections Librarian & ORCID services

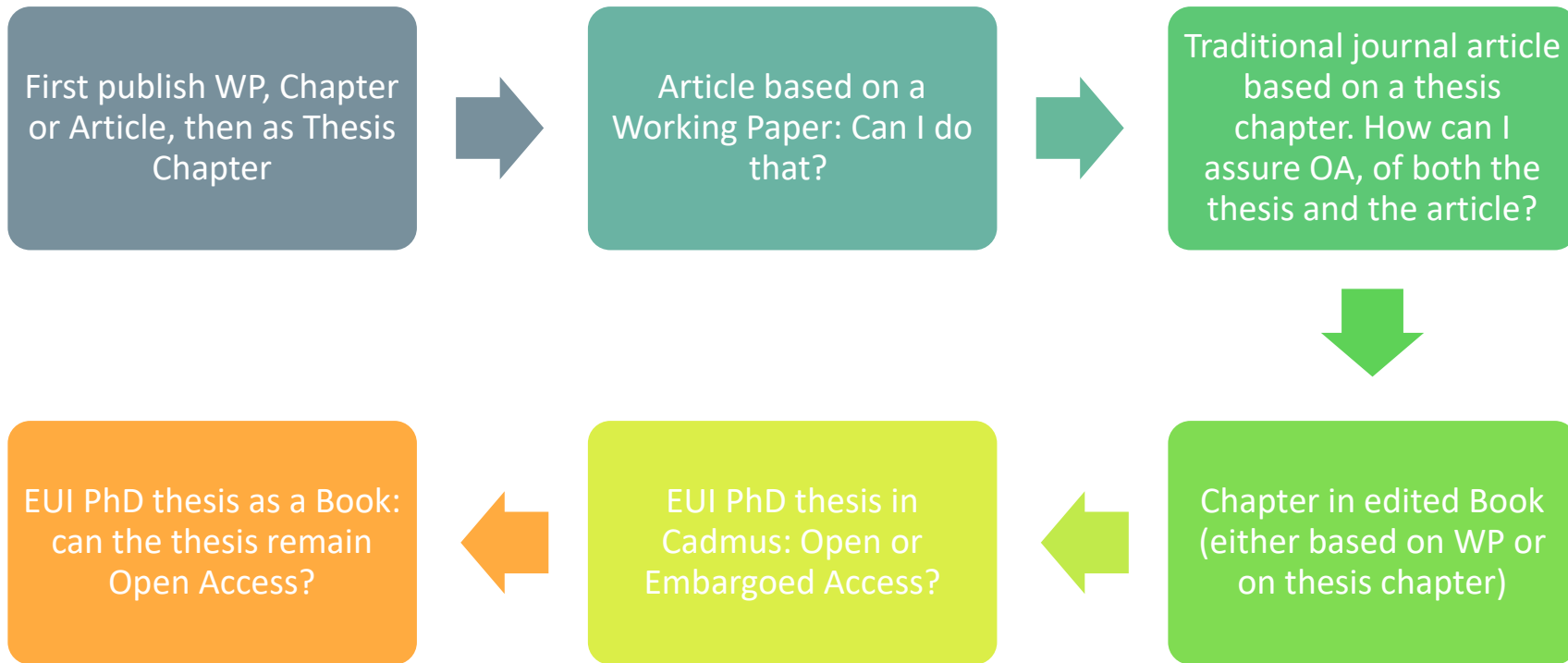


Outline

- Open Access
 - Publishing scenarios
 - Definition and different ways
 - Advantages
 - EUI and Library services
- EUI agreements with Publishers and Open Access vocabulary
- Tools for authors: Sherpa-Romeo, DOAJ, Unpaywall
- ORCID, the academic identifier
- EUI Library Transformative Agreements



Publishing scenarios and questions



Open Access: definitions

Make research available online without price barriers and without most permission barriers.

Open Access (OA) is the free, immediate, online access to the results of scholarly research, and the right to use and re-use those results as you need.



Open Access: different ways

Gold access: through publisher

- Paid by the author (e.g. APCs, etc.) or
- Paid by the institution (e.g. OA subsidies, 'read & publish' agreements, etc.)

Green access: through repository

- Institutional: Cadmus – EUI Research Repository
- Subject: SSRN, Repec

Publishing in Open Access: where?



Subject repository
SSRN, Repec, etc



Institutional repository
Cadmus

[EUI Open Access Theses](#)

[EUI Theses published as books](#)



Your home page, departmental web page, blog post?



ResearchGate; Academia.edu

Open Access: advantages for authors



Visibility

- For all
- Increased possibility to be cited and to claim your work
- Usage Statistics



Dissemination

- OAI-PMH - protocol for harvesting
- In European & International Portals
- Assures **funder's** requirements (ERC and H2020, HorizonEurope)



Re-use of Cadmus data

- Departmental pages, Personal homepages
- Annual Reports
- Exports publications to EUI ORCID profiles



Secure

- Immediate and secure storage of your publications
- Long-term preservation

Publishing open access: how?

Green OA: Deposit in institutional or subject repository

- submit the correct version as allowed by the publisher, max 12 months embargo period required for SSH by EC
- [Sherpa-Romeo](#) - check publishers' copyright policies

Gold OA: Publishing Open Access with a publisher

- [DOAJ](#) (Directory of Open Access Journals, with mention of APC = Article Processing Charges cost if any). Transformative Agreements with institutional coverage of OA fees. Ex. Journal [ARP](#) (no APC) [Journal of Government and Politics](#) (with small APC). BPC=Book Processing Charges

Uploading PDF on the web

- [Research Gate](#) or [Academia.edu](#) does not count as open access compliant for funders; But an OA article on personal home page may be allowed before in repository!



European
University
Institute

LIBRARY

Academia.edu

ACADEMIA

SECURE CHECKOUT



Upgrade to Academia Premium

Billed Annually ▾

Annual payments of €79,00 EUR

€6,58
EUR/month

Students and Bulk Licenses: [Special Rates Here](#)



Track funding opportunities for your research



Launch your own personal website



See the papers that mention you



Search the full text of 23 million papers



Save your searches and receive alerts



See who is reading your papers



Learn about your profile visitors



Measure the full impact of your research

30 DAY MONEY BACK GUARANTEE



☒ Credit Card

☐ PayPal

Card Number



MM / YY

Security Code (CVC)

Upgrade to Academia Premium



European
University
Institute

LIBRARY

Academia.edu

ACADEMIA

PREMIUM

7 papers mention **Lotta Svantesson**

Including one by a faculty member in Florence, Italy

- ⚠ Don't miss a single Mention
- 📈 Track your growing reputation
- 💬 See what academics are saying about you

[Upgrade to view your Mentions ▶](#)

Repositories compared to Networking Platforms

	Open access repositories	Academia.edu	ResearchGate
Supports export or harvesting	Yes	No	No
Long-term preservation	Yes	No	No
Business model	Nonprofit (usually)	Commercial. Sells job posting services, hopes to sell data	Commercial. Sells ads, job posting services
Sends you lots of emails (by default)	No	Yes	Yes
Wants your address book	No	Yes	Yes
Fulfills requirements of UC's OA policies	Yes	No	No

Tools for Authors

[Sherpa-Romeo](#): which article version can be put in OA – indication of 'friendly journal'

[EUI Open Science and Publishing pages](#) and library assistance

Library Transformative Agreements?

Publishers' copyright and author rights web [pages](#)

[Unpaywall](#) plugin: find and access Open Access resources

[Open Access Button](#): use it if you hit a paywall

[ROAD, Directory of Open Access scholarly Resources](#), service by ISSN International Centre with the support of UNESCO

Consider Open Access Journals (DOAJ), DOAB, Open Book Publishers

Open Access Vocabulary for Articles: Sherpa

Submitted version = ex. Pre-print version: this is the article as first submitted and accepted before it has been peer-reviewed, edited or prepared for publication by a publisher

Accepted version (ex. post-print version) - most common allowed version. This is the version after peer-review, but before any formatting by the publisher (like type-setting and page numbers)

Published version (a & b): this is the definitive published version as received from the publisher

Open Access Vocabulary for Articles: Publishers

Wiley

Accepted (peer-reviewed) Version

CUP

AM (Accepted Manuscript):

VoR (Version of Record): The version that is formally published

EE

Submitted Version

T&F

Author's Original Manuscript (AOM): This is your original manuscript (often called a "preprint")

Accepted Manuscript (AM): As a T&F author, you can post your this on your personal website at any point after publication of your article*

Version of Record (VoR): "A fixed version of a journal article that has been made available by ... a publisher by formally and exclusively declaring the article 'published.'

Sage

Contribution you submitted to the journal (**Version 1**)
accepted version (**Version 2**) of the Contribution
the published Contribution (**Version 3**) (sometimes allowed in IR if obtained permission)

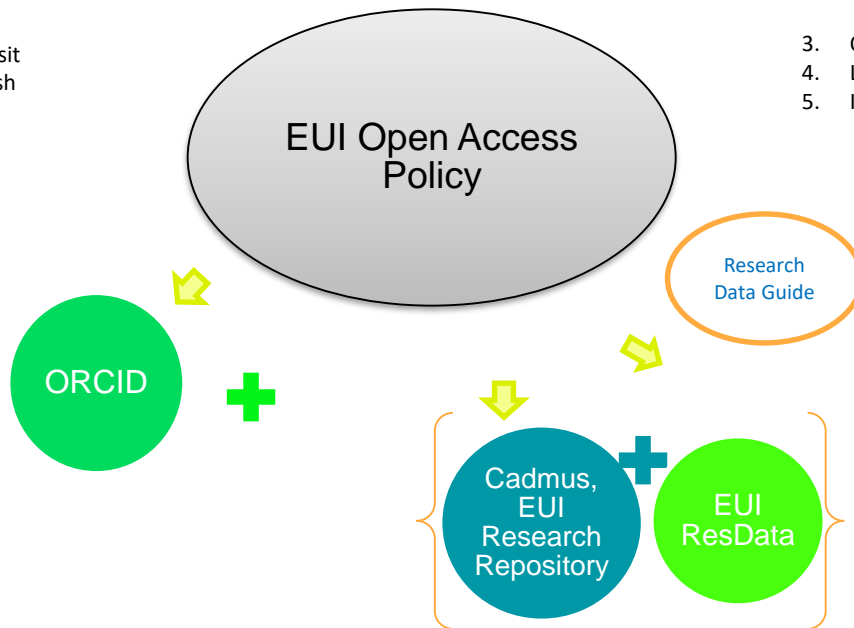
Brill

Submitted (pre-peer-review) Version
Accepted (peer-reviewed) Version

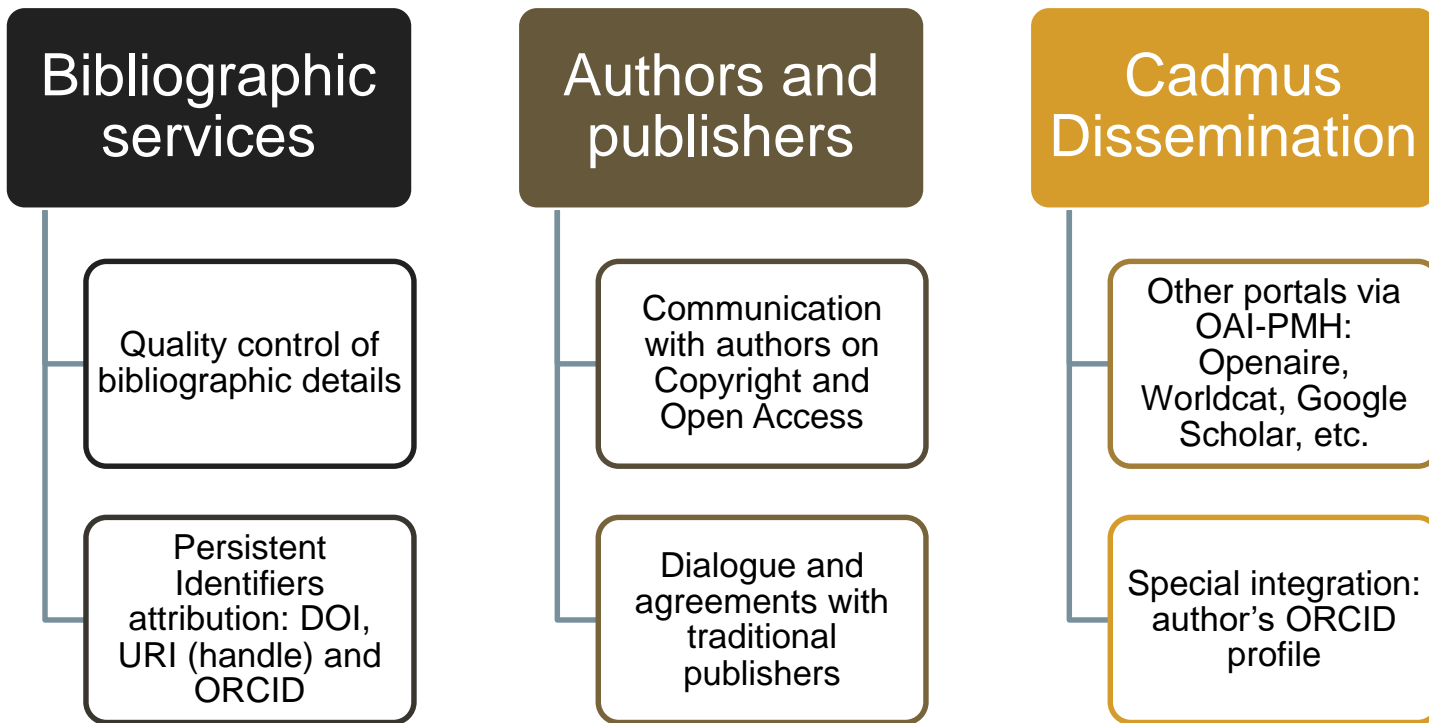
Open Access @ the EUI

1. Cadmus Deposit
2. Cadmus Publish

3. OA journals
4. Licenses (CC)/retain copyright
5. Identifiers



EUI Library services & support



Open Access options

- The EUI has an Open Access Policy and a Research Repository, Cadmus
- All EUI PhD theses are available in Cadmus
- EUI graduates choose: immediate open access or embargoed access (4 yrs)
- You can open the thesis at any time
- Use the Library's transformative agreements

Some advice / food for thought

- My PhD thesis in Cadmus: Open or Embargoed Access?
- My PhD thesis as a Book? Can the thesis remain Open Access?
- Can I negotiate with the publisher? Yes you should! Ask library staff to mediate (we provide letter models)
- Will your thesis in Open Access clash with other publishing strategies?

Top Publishers for EUI authors: Library agreements

Top EUI book publishers (analysis 2016-2018)	TA journal article fees	Agreement allowing EUI OA thesis
1Oxford University Press (OUP)		YES partially
2Routledge		
3Cambridge University Press (CUP)	YES	YES
4Palgrave MacMillan		YES (w/ Springer
5Hart		YES (w/ Bloomsbury)
6Edward Elgar		YES
7Springer	YES	YES (w/ Palgrave)
8Bloomsbury		YES (w/ Hart)
9EUI		
10Berghahn		

EUI Library agreements with publishers: case by case

Other Publishers but where Library OS office has reached agreement or case by case allowance for OA theses

Brill (Transnational publishers)	Agreement
Intersentia	Written Agreement
Nomos	Potential agreement
Peter Lang	Indirect Agreement
Sage	No Agreement
Taylor & Francis Group / UK Books Permissions (former Ashgate / Routledge)	No Agreement

Online researcher **identity**

- Be unique: **ORCID**
 - <https://cadmus.eui.eu/ORCID/>
- Cultivate your online presence:
 - Personal website, e.g. <https://me.eui.eu>
 - Research networks, Academia.edu, ResearchGate, (but be aware....)



European
University
Institute

LIBRARY

Create and connect your ORCID to CADMUS

This is where you as a researcher at the EUI create a new ORCID and connect it to CADMUS repository.
If you already have an ORCID, please connect it to CADMUS by entering your EUI email address below.



Create your ORCID iD



Connect your ORCID iD

ORCID

Open Researcher and Contributor

ID

Gábor HALMAI

ORCID ID



orcid.org/0000-0001-9472-5819

ORCID connection with CADMUS





European
University
Institute

LIBRARY

ORCID RECORD



Connecting Research
and Researchers

[EDIT YOUR RECORD](#) | [ABOUT ORCID](#) | [CONTACT US](#) | [HELP](#)

5,455,539 ORCID IDs and counting. [See more](#)

Gemma Scalise

ORCID ID

<https://orcid.org/0000-0002-9468-2633>

[Print view](#)

▼ **Employment (2)**

11 Sort

European University Institute: Fiesole, Toscana

2017-09 to present | Max Weber fellow (Political and Social Sciences)

Employment

Source: Gemma Scalise [Preferred source](#)

University of Florence: Florence, Toscana

2014 to 2017 | research assistant - AIRMULP project (Political and social sciences)

Employment

Source: Gemma Scalise [Preferred source](#)

▼ **Education and qualifications (1)**

11 Sort

University of Florence: Florence, Toscana

2010-01-10 to 2013-04-08 | PhD (Political and Social Sciences)

Education

Source: Gemma Scalise [Preferred source](#)

▼ **Funding (1)**

11 Sort

AIRMULP - Active inclusion and industrial relations from a multi-level governance perspective

European Commission (DG employment, social affairs and inclusion)

2014 to 2016 | Award

Port of GRANT_NUMBER: VS/2014/0546

Source: Gemma Scalise [Preferred source](#)

▼ **Works (1 of 1)**

11 Sort

Il mercato non basta : attori, istituzioni e identità dell'Europa in tempo di crisi

2017 | book

ISBN: 9788864536095

ISBN: 9788864536088

ISBN: 9788864536101

URI: <http://hdl.handle.net/1814/52184>

Source: European University Institute - CADMUS [Preferred source](#)

Guide to ORCID at the EUI

The European University Institute became institutional supporting member of ORCID in November 2017. In August 2018 the EUI Library integrated ORCID with Cadmus, the EUI Research Repository.



Member
Organization

ORCID iD, the Open Researcher and Contributor ID, is an alphanumeric number which uniquely identifies authors in the academic and scientific publishing world.

For any queries please use this guide or contact orcid@eui.eu

1. Introduction to ORCID at the EUI	▼
2. Advantages for academic authors	▼
3. Who can create and connect ORCID iD to EUI Cadmus?	▼
4. Create ORCID iD	▼
5. Connect ORCID iD to EUI Cadmus	▼
6. Authorise Cadmus to update ORCID record	▼
7. My ORCID record	▼
8. ORCID record visibility preferences	▼
9. Adding works to My ORCID record	▼

Recommendations



Keep track of your article and chapter versions



Save and store with appropriate filenames and perhaps date (eg. JournalTitle_Smith_2018_04.pdf)



Self-archive the allowed version(s) as soon as possible (post-print in the repository)



Sometimes the pre-print is allowed even before publication date



Always link to the handle in Cadmus



Connect your ORCID record to the EUI and make it open 'to everyone'



Go to the Library for advice!

The Library Transformative 'Read and Publish' Agreements:

2020



Which publishers? CUP & Springer



Free OA option in more than 2200 journal titles



For each OA article you will save > 2000 €

Current Valid Agreements



CUP & Springer - Transformative agreements

Both valid since 2020 retroactively!

CUP EUI authors eligible since January 2020 (acceptance date) for 3 years 1 January 2020 to 31 December 2022

Springer EUI authors eligible: since July 2020 (publication date online) for 5 years - 1 July 2020 to 31 December 2024



Elsevier - less transformative agreement: discounted APCs

Period of validity: 2018-2022

2020-2021: discount 15% on APCs in Elsevier journals

2022: discount 10% on APCs in Elsevier journals

What is the workflow?

How can you use the agreements?

1. Author submits article, chooses OA, and applies for the EUI institutional APC waiver
2. Publisher alerts the EUI Library
3. EUI Library staff approve and confirm that author is EUI affiliated (in dedicated platform)
4. Authors should receive positive message about the acceptance of the APC waiver from publisher

When does the APC waiver happen?

CUP: Open Access Publishing Workflow



- The Open Access option and fee waiver is chosen after peer-review and after **acceptance** of the article
- The waiver can also be requested retroactively as long as the agreement was already valid at the **acceptance** of the article

More questions about APCs and Transformative Agreements?

- Contact us or consult web page

Home » Research » Publications » Publish in Open Access: Library agreements with publishers

Publish in Open Access: Library agreements with publishers

The EUI Library supports the members of the EUI academic community in their efforts to publish Open Access through specific 'transformative' agreements with publishers.

The Library agreements can waive the Open Access publishing fees usually charged to the author in more than 2200 journal titles published by Springer and Cambridge University Press.

The agreements described below allow EUI corresponding authors to publish their articles in Open Access without paying any Article Processing Charge (APC).

Cambridge University Press

- **Type of agreement:** full publishing fees waived
- **Coverage:** All 412 CUP Journals full collection - [CUP journal list](#) (XLSX download)
- **Duration:** 3 years - 1 January 2020 to 31 December 2022.
- The Open Access option and fee waiver is done after peer-review and after **acceptance** of the article
- The waiver can be requested retroactively as long as the agreement was already valid at the acceptance of the article.
- Standard option licence is CC BY 4.0

More details on the [CUP read & publish agreement](#) for Italy CRUI

[CUP OA Publishing Guide](#)

More information: cadmus@eui.eu or to CUP OAqueries@cambridge.org

Springer

- **Type of agreement:** full publishing fees waived
- **Coverage:** More than 1,850 Springer hybrid journals - [Hybrid journal list](#) (XLSX download)
- **Duration:** 5 years - 1 July 2020 to 31 December 2024 (to be eligible the article has to be **published** after the 1 July 2020)

[Guide for authors](#) (PDF download)

More on the [Library's Springer-CRUI Agreement](#)

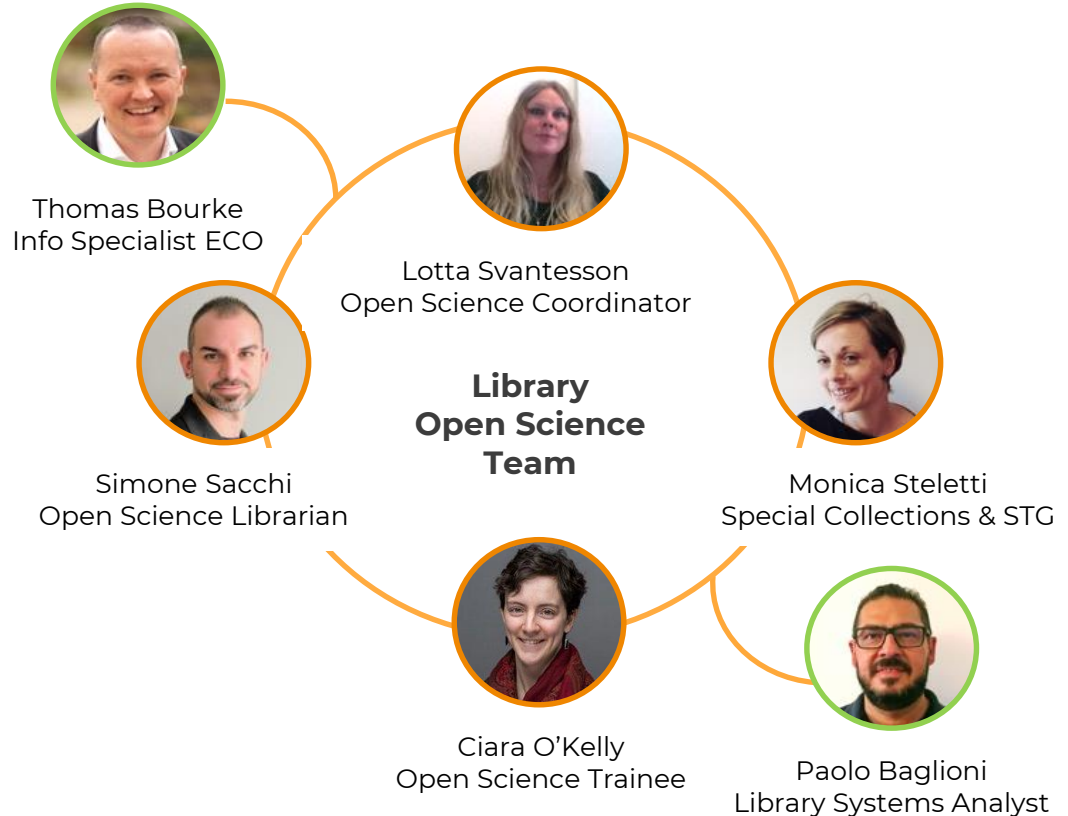
- choose 'yes' to publish open access
- you get a message 'open access at no cost to you'
- Questions to: ORSupport@springernature.com or search [Support pages](#)
- if you encounter problems, please get in touch with oa.verification@springer.com or the EUI open access team at cadmus@eui.eu

Type of articles eligible

- **OriginalPaper** / Original Research / Original Article, Original Paper / Research Paper.
- **ReviewPaper:** Standard article, interpreting previously published results.

Example of Springer Journal titles where APC is waived: *Review of industrial organization*

We are here to help!



Upcoming training sessions

EU law databases and registers of documents

Wednesday 28 October 2020, 13.30-15.30, Valentina Spiga

Research Data Management, Data Management Plans, Open Data and the EUI Research Data Collection

Wednesday 18 November 2020, 13.30 - 15.30, Thomas Bourke

Next Chats:
Nov 5, 2020
Nov 19, 2020
Dec 3, 2020

Open Science Team
Bi-Weekly Chat
Every other Thursday
at 11:00 to 12:00

Thank you

Resources

- [EUI Open Science web pages](#)
- Cadmus, EUI Research Repository: <https://cadmus.eui.eu/>
- ORCID: <https://orcid.org/>
- EUI ORCID Guide: <https://www.eui.eu/ORCID>
- EUI ©:
<https://www.eui.eu/Research/EUIPublications/CopyrightAndOpenAccess>
- SHERPA/RoMEO: <http://sherpa.ac.uk/romeo/index.php>
- Author Addenda – Open Access Directory:
http://oad.simmons.edu/oadwiki/Author_addenda#:~:text=An%20author%20addendum%20is%20a,the%20right%20to%20authorize%20OA

Annex: EUI Library agreements with publishers

Publisher	Agreement with EUI	Content in brief
Berghahn	No Agreement	only 20% of thesis ok with Berghahn
Brill (Transnational publishers)	Agreement	deposit of the thesis version in the EUI repository is permitted with an embargo of three years after the book publication
Cambridge University Press (CUP)	Agreement	All 23 theses published by CUP can be put in fulltext in open access in Cadmus.
Edward Elgar	Agreement	18 months embargo on thesis counting from publication date of book; Can be Open Access until Book Publication
European Press Academic Publishing (EPAP), Florence	No Agreement	One-case positive reply
FrancoAngeli	No Agreement	Nothing on theses. BPCs low cost for researchers
Hart Publishing (Bloomsbury)	No Agreement	Agreed to OA thesis of book to be published right after book publication.
Intersentia	Written Agreement	2 years embargo from book publication date
Lambert Academic Publishing (LAP)	No Agreement	Theses can be put OA. There are no contacts with LAP (Florence)
Nomos	Potential agreement	Positive case allowed OA thesis
Oxford University Press (OUP)	Partial agreement	Thesis can be OA and published by OUP but only if heavily revised
Peter Lang	Indirect Agreement	Peter Lang allows open access archiving of a "Submitted manuscript under review" thus able to upload the author's original thesis version (prior version of the so-called "Submitted manuscript under review")
Sage	No Agreement	
Springer / Palgrave MacMillan	General Written Agreement	agrees that EUI theses are Open - can be considered general agreement but need to inform after each case
Taylor & Francis Group / UK Books Permissions (former Ashgate / Routledge)	No Agreement	One-case positive reply
Transcript	No Contact	question about the prior version of book, ie. Thesis, remains in the air